

Carnegie Trust for the Universities of Scotland

- Background to the Trust
- Carnegie Research Workshops
 - Aims and remit of scheme
 - What we look for
 - How to apply

About the Carnegie Trust for the Universities of Scotland



THE CARNEGIE TRUST
FOR THE UNIVERSITIES OF SCOTLAND

Origins

- Founded in 1901 by Scots American millionaire Andrew Carnegie to “benefit the Universities of Scotland and the youth of that country who desire the benefits of a University education”.
- Endowment of 10 million dollars in US Steel bonds.
- One of over 20 Trusts set up by Carnegie worldwide.
- The 3 other Trusts in the UK are:
 - Carnegie Dunfermline Trust (1903) supports projects/activities in Dunfermline & Rosyth.
 - Carnegie Hero Fund UK (1908) recognises civilian heroism.
 - The Carnegie UK Trust (1913) works to improve the lives of people throughout the UK and Ireland, by influencing policy, innovative practice and partnership work.



Recent and upcoming changes

- Eligibility for all funding schemes now extended to academics and students at:
 - Glasgow School of Art
 - Royal Conservatoire of Scotland
 - Change was agreed in October 2018, with immediate effect
- New governance arrangements expected to come into effect May 2019
 - Revised Charter, currently awaiting Privy Council approval
 - Reducing the number of Principal Trustees to 5
 - Reducing the number of Nominated Trustees to 9
 - Plus a number of other updates

What we do

The original 1901 Trust Deed defines our activities and how income should be spent:

Clause A:

One half on the “improvement and expansion of the Universities of Scotland and opportunities for scientific study and research.”

Clause B:

Other half on payment of tuition fees for students of Scottish birth or extraction attending a Scottish University.

Clause A funding schemes

- Research Incentive Grants
- Carnegie PhD Scholarships
- Collaborative Research Grants (closed 2017)
- Research Workshops (new in 2018)

Clause B funding schemes

- Undergraduate tuition fees
- Vacation Scholarships
- PG Masters Bursaries (closed 2017)

We also administer award of PG Master’s scholarships in the US on behalf of the St Andrews Society for the State of New York

Income and Expenditure

- The endowment was valued at £81 million in September 2018
- Endowment generated a net income of £3.22 million in 2017-18
- Plus donations and legacies from former beneficiaries and supporters

Scheme	Applications	Awards	Amount
UG Tuition Fee Grants	186	163	£292,898
Hardship Funds	63	63	£49,900
Vacation Scholarships	159	112	£234,500
PhD Scholarships	57	19	£1,079,000
Research Incentive Grants	295	122	£1,008,746
Total	760	479	£2,665,055



Changes to grant schemes: Student support

- UG Fee Grants
 - Working more closely with universities to identify potential applicants
 - Partnerships with Strathclyde, Glasgow and UWS to support Asylum Seekers
 - Increase in number of applications in 2017-18
- Vacation Scholarships
 - Award increased to £250 per week,
 - Duration extended up to 10-12 weeks
 - in line with other similar schemes
- PG Master's Bursaries
 - Scheme terminated following introduction of Scottish government loans for Postgraduate students

Changes to grant schemes: Research funding

- Closure of two schemes:
 - Collaborative Research Grants
 - Heavily over-subscribed, with a success rate under 10%
 - Last call January 2017; Final awards made in May 2017
 - Centenary Professorships
 - Created in 2001 to mark first centenary of the Trust
 - Last awards made in November 2016
- Expansion of the Research Incentive Grants:
 - Increase in value of individual grants and in the number of awards: £10,000 currently, to increase to £15,000 from March 2019.
- Pilot of new scheme: Carnegie Research Workshops
 - Recognition that ideas require in depth discussions and exchanges for new lines of enquiry to emerge

Carnegie Research Workshops

- Aims and remit of scheme
- What we look for
- How to apply

Aims and scope

- Background
 - Recognition that research workshop contributes to the direction and shape of future research
 - Importance of global networks in developing research
 - Filling gaps in funding in Scotland
 - Pilot in 2018-19, up to 3 projects will be funded
- Aims of the scheme
 - Advance new research ideas
 - Exchange of skills and expertise, between generations and with outside experts
 - Develop further research collaborations with new partners
 - Enable the Scottish academic community to develop and strengthen its international leadership position
- Scope
 - Workshop programmes tackling key research issues
 - Research topics relating to current scientific, technological, environmental, intellectual, cultural or societal developments
 - Topic must be relevant to Scotland **as well as** globally



Workshop format

- Small scale events
- Up to 30 -40 attendees
- At least a third of early career researchers predominantly from Scotland
- Format should promote active engagement between participants
- Either a series of workshops or a longer single event
- Encourage residential events, bearing in mind the Trust's diversity requirements
- Format will depend on topic, contributors and their availability

Workshop leadership team

- Workshop programmes are developed and led by **teams**
 - At least **one** academic researcher must be employed at one of our **eligible institutions** (15 Scottish universities, GSA and RCS)
 - Lead participants can come from other universities or research organisations in Scotland, rest of UK or internationally
 - Representatives can be included from industry, policy, practice, NGOs, public sector organisations or charities, if relevant to the workshop theme
 - Each member of the team must actively contribute to the:
 - Development of the workshop and its **content**
 - Recruitment of suitable participants through their own **networks** and **contacts**
- Workshop leadership teams must demonstrate **suitable balance** of:
 - Skills
 - Expertise
 - Career stage
 - Equality and Diversity

Participants

Workshop organising teams must seek to include:

- High quality participants, recognised as leaders in the field
- Recruited both nationally and internationally
- Representatives from all career stages engaged in research within the subject area(s) of the workshops
- Early Career Researchers (at either doctoral and postdoctoral level) and the majority of these ECRs should be from Scottish institutions

Equality and diversity

- Leadership of, and participation in, the design and delivery of Carnegie Research Workshops must be open to individuals from under-represented groups, including researchers with caring responsibilities or disabilities
- Proposal must address how the Leadership team will promote accessibility & diversity
- Childcare provision and the cost of any carer provision required to facilitate attendance are eligible costs
- Assistive technology and specialist equipment must be available at the event venue (e.g. hearing loops, AT enabled tablets/laptops)



Intended outcomes

The Carnegie Research Workshops are by nature more explorative and the trust recognises that expected outcomes will therefore reflect this. Potential outcomes include:

- **New network and collaborations** focusing on **fresh avenues of investigation**
- Identification of **new research opportunities**, including the development of new ideas and methodologies
- Greater **understanding and integration of skills** and expertise across disciplines
- Stronger **networks with international research leaders** based on complementary skills, expertise and research interests
- **Greater engagement between individuals and organisations**, to encourage and promote collaboration
- **Research grant applications** to other funding bodies
- **Articles or edited volumes** based on the discussions held during the workshops
- **End report** for the Carnegie Trust including:
 - a brief narrative of the event(s), record of attendees and achieved outcomes.
 - a description of the steps that will be taken to ensure the long term viability of the scientific or intellectual collaborations arising from the workshops



Grant value and eligible costs

Maximum grant value: £50,000

Eligible costs

- attendee travel, accommodation, subsistence
- venue hire
- research support staff time
- administrative support staff time (logistics)
- costs associated with supporting equality and diversity of representation among the workshop leadership team and participants
- professional compère/facilitator fees
- videography/video conferencing
- advertising/ promotion, production of printed material, costs associated with disseminating results arising from the event

Ineligible costs

- the Lead Organiser's (and any Co-Organiser's) own time or that of dependants, or buy-out of their time
- travel, accommodation and subsistence costs of a partner, spouse, relative or friend who are not a participant's usual carer
- stipends or scholarships
- indirect overhead costs and bench/desk fees
- insurance cover or accreditation required to hold the event

The Trust intends to be flexible with regards to the costs.

The final budget will be agreed with the successful applicants



Selection criteria

- *Expressions of Interest* and *Full Proposals* will be selected on the basis of:
 - Demonstrable fit with the remit, aims and scope of the Research Workshop scheme
- In addition we shall look at:
 - **originality** of the proposed workshop topic and programme, **scope of issues** to be addressed; **potential significance** to the Scottish research community and **international relevance**;
 - the **expansion of an existing area of research** and/or the generation of **new foci** for research activities; potential to develop/strengthen **Scotland's leadership position** in the chosen area of research;
 - the promotion of **collaborative working**: between academics at different career stages; the Scottish universities and researchers in the rest of the UK; and internationally;
 - its **feasibility**, in terms of the scope indicated, programme format, choice of participants and the resources available;
 - the **suitability** of the Lead Organiser and Organising Team to undertake the workshop programme, including strength of participants and their contributions to the content;
 - where applicable, any in-kind support/added value being offered by the Lead/Partner Organisations.



Application method

- Two stage process:
 - Expression of Interest
 - Meeting with Carnegie Trust staff
 - Full proposal from teams whose EOI best fits the scheme's purpose and remit
 - Both must be submitted through one of the eligible Scottish HEIs (= lead institution) at which one of the applicants is based
- No deadline for submitting an EOI
- Full proposals are to be completed within 3 months of invitation to submit
- EOI and full proposal must be endorsed:
 - By the applicant's Head of Department
 - By the applicant's Research Office

Contact details

For further information contact:

Research-workshops@Carnegie-trust.org

Carnegie Trust for the Universities of Scotland

Andrew Carnegie House

Pittencrieff Street

Dunfermline KY12 8AW

Phone: 01383 724 990

www.carnegie-trust.org



THE CARNEGIE TRUST
FOR THE UNIVERSITIES OF SCOTLAND