

# Carnegie Trust for the Universities of Scotland

- Background to the Trust
- Carnegie Research Workshops
  - Aims and remit of scheme
  - What we look for
  - How to apply

# About the Carnegie Trust for the Universities of Scotland



**THE CARNEGIE TRUST**  
FOR THE UNIVERSITIES OF SCOTLAND

# Origins

- Founded in 1901 by Scots American millionaire Andrew Carnegie to “benefit the Universities of Scotland and the youth of that country who desire the benefits of a University education”.
- Endowment of 10 million dollars in US Steel bonds.
- One of over 20 Trusts set up by Carnegie worldwide.
- The 3 other Trusts in the UK are:
  - Carnegie Dunfermline Trust (1903) supports projects/activities in Dunfermline & Rosyth.
  - Carnegie Hero Fund UK (1908) recognises civilian heroism.
  - The Carnegie UK Trust (1913) works to improve the lives of people throughout the UK and Ireland, by influencing policy, innovative practice and partnership work.





# Recent and upcoming changes

- Eligibility for all funding schemes now extended to academics and students at:
  - Glasgow School of Art
  - Royal Conservatoire of Scotland
    - Change was agreed in October 2018, with immediate effect
- New governance arrangements expected to come into effect May 2019
  - Revised Charter, currently awaiting Privy Council approval
  - Reducing the number of Principal Trustees to 5
  - Reducing the number of Nominated Trustees to 9
  - Plus a number of other updates

# What we do

The original 1901 Trust Deed defines our activities and how income should be spent:

## **Clause A:**

One half on the “improvement and expansion of the Universities of Scotland and opportunities for scientific study and research.”

## **Clause B:**

Other half on payment of tuition fees for students of Scottish birth or extraction attending a Scottish University.

## **Clause A funding schemes**

- Research Incentive Grants
- Carnegie PhD Scholarships
- Collaborative Research Grants (closed 2017)
- Research Workshops (new in 2018)

## **Clause B funding schemes**

- Undergraduate tuition fees
- Vacation Scholarships
- PG Masters Bursaries (closed 2017)

We also administer award of PG Master’s scholarships in the US on behalf of the St Andrews Society for the State of New York

# Income and Expenditure

- The endowment was valued at £81 million in September 2018
- Endowment generated a net income of £3.22 million in 2017-18
- Plus donations and legacies from former beneficiaries and supporters

Scheme	Applications	Awards	Amount
UG Tuition Fee Grants	186	163	£292,898
Hardship Funds	63	63	£49,900
Vacation Scholarships	159	112	£234,500
PhD Scholarships	57	19	£1,079,000
Research Incentive Grants	295	122	£1,008,746
<b>Total</b>	<b>760</b>	<b>479</b>	<b>£2,665,055</b>



# Changes to grant schemes: Student support

- UG Fee Grants
  - Working more closely with universities to identify potential applicants
  - Partnerships with Strathclyde, Glasgow and UWS to support Asylum Seekers
  - Increase in number of applications in 2017-18
- Vacation Scholarships
  - Award increased to £250 per week,
  - Duration extended up to 10-12 weeks
    - in line with other similar schemes
- PG Master's Bursaries
  - Scheme terminated following introduction of Scottish government loans for Postgraduate students

# Changes to grant schemes: Research funding

- Closure of two schemes:
  - Collaborative Research Grants
    - Heavily over-subscribed, with a success rate under 10%
    - Last call January 2017; Final awards made in May 2017
  - Centenary Professorships
    - Created in 2001 to mark first centenary of the Trust
    - Last awards made in November 2016
- Expansion of the Research Incentive Grants:
  - Increase in value of individual grants and in the number of awards: £10,000 currently, to increase to £15,000 from March 2019.
- Pilot of new scheme: Carnegie Research Workshops
  - Recognition that ideas require in depth discussions and exchanges for new lines of enquiry to emerge

# Carnegie Research Workshops

- Aims and remit of scheme
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# Aims and scope

- Background
  - Recognition that research workshop contributes to the direction and shape of future research
  - Importance of global networks in developing research
  - Filling gaps in funding in Scotland
  - Pilot in 2018-19, up to 3 projects will be funded
- Aims of the scheme
  - Advance new research ideas
  - Exchange of skills and expertise, between generations and with outside experts
  - Develop further research collaborations with new partners
  - Enable the Scottish academic community to develop and strengthen its international leadership position
- Scope
  - Workshop programmes tackling key research issues
  - Research topics relating to current scientific, technological, environmental, intellectual, cultural or societal developments
  - Topic must be relevant to Scotland **as well as** globally



# Workshop format

- Small scale events
- Up to 30 -40 attendees
- At least a third of early career researchers predominantly from Scotland
- Format should promote active engagement between participants
- Either a series of workshops or a longer single event
- Encourage residential events, bearing in mind the Trust's diversity requirements
- Format will depend on topic, contributors and their availability

# Workshop leadership team

- Workshop programmes are developed and led by **teams**
  - At least **one** academic researcher must be employed at one of our **eligible institutions** (15 Scottish universities, GSA and RCS)
  - Lead participants can come from other universities or research organisations in Scotland, rest of UK or internationally
  - Representatives can be included from industry, policy, practice, NGOs, public sector organisations or charities, if relevant to the workshop theme
  - Each member of the team must actively contribute to the:
    - Development of the workshop and its **content**
    - Recruitment of suitable participants through their own **networks** and **contacts**
- Workshop leadership teams must demonstrate **suitable balance** of:
  - Skills
  - Expertise
  - Career stage
  - Equality and Diversity

# Participants

Workshop organising teams must seek to include:

- High quality participants, recognised as leaders in the field
- Recruited both nationally and internationally
- Representatives from all career stages engaged in research within the subject area(s) of the workshops
- Early Career Researchers (at either doctoral and postdoctoral level) and the majority of these ECRs should be from Scottish institutions

## Equality and diversity

- Leadership of, and participation in, the design and delivery of Carnegie Research Workshops must be open to individuals from under-represented groups, including researchers with caring responsibilities or disabilities
- Proposal must address how the Leadership team will promote accessibility & diversity
- Childcare provision and the cost of any carer provision required to facilitate attendance are eligible costs
- Assistive technology and specialist equipment must be available at the event venue (e.g. hearing loops, AT enabled tablets/laptops)



# Intended outcomes

The Carnegie Research Workshops are by nature more explorative and the trust recognises that expected outcomes will therefore reflect this. Potential outcomes include:

- **New network and collaborations** focusing on **fresh avenues of investigation**
- Identification of **new research opportunities**, including the development of new ideas and methodologies
- Greater **understanding and integration of skills** and expertise across disciplines
- Stronger **networks with international research leaders** based on complementary skills, expertise and research interests
- **Greater engagement between individuals and organisations**, to encourage and promote collaboration
- **Research grant applications** to other funding bodies
- **Articles or edited volumes** based on the discussions held during the workshops
- **End report** for the Carnegie Trust including:
  - a brief narrative of the event(s), record of attendees and achieved outcomes.
  - a description of the steps that will be taken to ensure the long term viability of the scientific or intellectual collaborations arising from the workshops



# Grant value and eligible costs

**Maximum grant value: £50,000**

## Eligible costs

- attendee travel, accommodation, subsistence
- venue hire
- research support staff time
- administrative support staff time (logistics)
- costs associated with supporting equality and diversity of representation among the workshop leadership team and participants
- professional compère/facilitator fees
- videography/video conferencing
- advertising/ promotion, production of printed material, costs associated with disseminating results arising from the event

## Ineligible costs

- the Lead Organiser's (and any Co-Organiser's) own time or that of dependants, or buy-out of their time
- travel, accommodation and subsistence costs of a partner, spouse, relative or friend who are not a participant's usual carer
- stipends or scholarships
- indirect overhead costs and bench/desk fees
- insurance cover or accreditation required to hold the event

The Trust intends to be flexible with regards to the costs.

The final budget will be agreed with the successful applicants



# Selection criteria

- *Expressions of Interest* and *Full Proposals* will be selected on the basis of:
  - Demonstrable fit with the remit, aims and scope of the Research Workshop scheme
- In addition we shall look at:
  - **originality** of the proposed workshop topic and programme, **scope of issues** to be addressed; **potential significance** to the Scottish research community and **international relevance**;
  - the **expansion of an existing area of research** and/or the generation of **new foci** for research activities; potential to develop/strengthen **Scotland's leadership position** in the chosen area of research;
  - the promotion of **collaborative working**: between academics at different career stages; the Scottish universities and researchers in the rest of the UK; and internationally;
  - its **feasibility**, in terms of the scope indicated, programme format, choice of participants and the resources available;
  - the **suitability** of the Lead Organiser and Organising Team to undertake the workshop programme, including strength of participants and their contributions to the content;
  - where applicable, any in-kind support/added value being offered by the Lead/Partner Organisations.



# Application method

- Two stage process:
  - Expression of Interest
  - Meeting with Carnegie Trust staff
  - Full proposal from teams whose EOI best fits the scheme's purpose and remit
  - Both must be submitted through one of the eligible Scottish HEIs (= lead institution) at which one of the applicants is based
- No deadline for submitting an EOI
- Full proposals are to be completed within 3 months of invitation to submit
- EOI and full proposal must be endorsed:
  - By the applicant's Head of Department
  - By the applicant's Research Office

# Contact details

For further information contact:

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